

10096

SP01-029

2877

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: LI MING-JUN, et al  
Serial No: 10/044455  
Filed: 01/11/2002  
For: WAVEGUIDE FIBER FOR DISPERSION  
AND SLOPE COMPENSATION

Examiner: Michael P. Mooney  
Group Art Unit: 2877

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.56, 1.97 – 1.98**

Commissioner of Patents  
Alexandria, VA 22313-1450

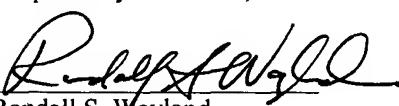
Dear Sir:

The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application. One copy of the reference(s) is enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

Respectfully submitted,

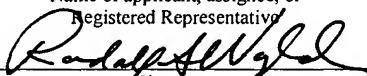
  
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Date: 8/25/03

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner of Patents, Alexandria, VA 22313-1450 on

8/25/03  
Date of Deposit

Randall S. Wayland  
Name of applicant, assignee, or  
Registered Representative

  
Signature

8/25/03  
Date of Signature


**FORM PTO-1449 (MODIFIED)**

LIST OF PATENTS AND  
PUBLICATIONS  
FOR APPLICANTS INFORMATION  
DISCLOSURE STATEMENT

**ATTORNEY DOCKET NO.**
**SP01-029**
**SERIAL NO.**
**10/044455**
**APPLICANT LI MING-JUN, et al.**
**FILING DATE 01/11/2002**
**GROUP: 2877**
**REFERENCE DESIGNATION**
**U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
	AA	2002/0001444	1/3/02	Hirano et al.			
	AB	6,445,864	9/3/02	Jiang et al.			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Sub-Class	Translation Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							
	AQ							

**OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)**

	AR	
	AS	
	AT	
	AU	
	AV	
	AW	

**EXAMINER:**
**DATE CONSIDERED:**

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.